

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

# A. Category Wise Investor Complaint Data

# 1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board

#### Data for month ending February 2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved During the particular month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|---------------------------------------|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|-------------------------------------|--|--|
| 1.  | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2.  | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3.  | 2023      | Nil                                      | @                                   | @                                      | @  |
| 4.  | 2024      | @  | @                                   | @                                      | @  |
| 5.  | 2025      | @  | @                                   | @                                      | @  |
| Gra | and Total | -  | -                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

#### 2. Rights Issue:

#### Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For ${\bf 5}$ months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

 $<sup>* \ \</sup>textit{Inclusive of complaints of previous months resolved in the current month}.$ 

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|--|--|--|
| 1.  | 2021      | Nil                                      | 2                                      | 2                                      | Nil  |
| 2.  | 2022      | Nil                                      | 20                                     | 20                                     | Nil  |
| 3.  | 2023      | Nil                                      | @                                      | @                                      | @  |
| 4.  | 2024      | @  | @                                      | @                                      | @  |
| 5.  | 2025      | @  | @                                      | @                                      | @  |
| Gra | and Total | -  | -                                      | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 3. Qualified Institutional Placements (QIP):

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For ${\bf 5}$ months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2023      | Nil                                      | @                                   | @                                      | @   |
| 4. | 2024      | @  | @                                   | @                                      | @   |
| 5. | 2025      | @  | @                                   | @                                      | @   |
| Gr | and Total | -  | -                                   | -                                      | -   |

<sup>@</sup>The relevant period has not been completed

#### 4. Preferential Issue:

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

 $<sup>* \ \</sup>textit{Inclusive of complaints of previous months resolved in the current month}.$ 

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|--|--|--|
| 1.  | 2021      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 2.  | 2022      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 3.  | 2023      | Nil                                      | @                                      | @                                      | @  |
| 4.  | 2024      | @  | @                                      | @                                      | @  |
| 5.  | 2025      | @  | @                                      | @                                      | @  |
| Gra | and Total | -  | -                                      | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

#### 5. Initial Public Offer/ Follow on Public Offer including Offer For Sale: SME

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|---|--|---|--|
| 1. | October, 2022  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                       | Nil  | Nil   | Nil  |

 $<sup>* \ \</sup>textit{Inclusive of complaints of previous months resolved in the current month}.$ 

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|--|--|--|
| 1.  | 2021      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 2.  | 2022      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 3.  | 2023      | Nil                                      | @                                      | @                                      | @  |
| 4.  | 2024      | @  | @                                      | @                                      | @  |
| 5.  | 2025      | @  | @                                      | @                                      | @  |
| Gra | and Total | -  | -                                      | •                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 6. Buyback of Securities:

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|-------------------------------------|--|--|
| 1.  | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2.  | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3.  | 2023      | Nil                                      | @                                   | @                                      | @  |
| 4.  | 2024      | @  | @                                   | @                                      | @  |
| 5.  | 2025      | @  | @                                   | @                                      | @  |
| Gra | and Total | -  | -                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 7. Delisting:

# Data for month ending February, 2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For ${\bf 5}$ months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2023      | Nil                                      | @                                   | @                                      | @   |
| 4. | 2024      | @  | @                                   | @                                      | @   |
| 5. | 2025      | @  | @                                   | @                                      | @   |
| Gr | and Total | -  | -                                   | -                                      | -   |

 $<sup>@\</sup>it The\ relevant\ period\ has\ not\ been\ completed$ 

#### 8. Substantial Acquisition of Shares and Takeovers:

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

 $<sup>* \ \</sup>textit{Inclusive of complaints of previous months resolved in the current month}.$ 

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|--|--|--|--|
| 1.  | 2021      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 2.  | 2022      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 3.  | 2023      | Nil                                      | @                                      | @                                      | @  |
| 4.  | 2024      | @  | @                                      | @                                      | @  |
| 5.  | 2025      | @  | @                                      | @                                      | @  |
| Gra | and Total | -  | -                                      | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 9. Private Placement of Non-Convertible Securities

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

 ${\it \#Inclusive of complaints pending as on the last day of the month.}$ 

| SN  | Year      | Carried<br>forward from | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|-------------------------|-------------------------------------|--|--|
|     |           | previous year           | ,                                   | <b>1</b>                               |  |
| 1.  | 2021      | Nil                     | Nil                                 | Nil                                    | Nil  |
| 2.  | 2022      | Nil                     | Nil                                 | Nil                                    | Nil  |
| 3.  | 2023      | Nil                     | @                                   | @                                      | @  |
| 4.  | 2024      | @                       | @                                   | @                                      | @  |
| 5.  | 2025      | @                       | @                                   | @                                      | @  |
| Gra | and Total | -                       | =                                   | -                                      | -  |

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

# 10. Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)

## Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN  | Year      | Carried forward from | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|-----|-----------|----------------------|--|--|--|
|     |           | previous year        |  |  |  |
| 1.  | 2021      | Nil                  | Nil                                    | Nil                                    | Nil  |
| 2.  | 2022      | Nil                  | Nil                                    | Nil                                    | Nil  |
| 3.  | 2023      | Nil                  | @                                      | @                                      | @  |
| 4.  | 2024      | @                    | @                                      | @                                      | @  |
| 5.  | 2025      | @                    | @                                      | @                                      | @  |
| Gra | and Total | -                    | -                                      | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 11. Public Issue of Debt Securities

# Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | October, 2022  | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023  | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2023      | Nil                                      | @                                   | @                                      | @   |
| 4. | 2024      | @  | @                                   | @                                      | @   |
| 5. | 2025      | @  | @                                   | @                                      | @   |
| Gr | and Total | -  | -                                   | -                                      | -   |

 $<sup>@</sup>The\ relevant\ period\ has\ not\ been\ completed$ 

# B. Consolidated Investor Complaint Data

# Data for month ending February,2023 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month              | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|--------------------|-------------------------------------|--|---|--|
| 1. | October, 2022      | Nil                                 | Nil  | Nil   | Nil  |
| 2. | November, 2022     | Nil                                 | Nil  | Nil   | Nil  |
| 3. | December, 2022     | Nil                                 | Nil  | Nil   | Nil  |
| 4. | January,2023       | Nil                                 | Nil  | Nil   | Nil  |
| 5. | February,2023      | Nil                                 | Nil  | Nil   | Nil  |
|    | <b>Grand Total</b> | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | 2                                   | 2                                      | Nil  |
| 2. | 2022      | Nil                                      | 20                                  | 20                                     | Nil  |
| 3. | 2023      | Nil                                      | @                                   | @                                      | @  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | @                                   | @                                      | @  |
| Gr | and Total | -  | -                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed